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MP #3

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/020,081	12/11/2001	Thomas E. West JR.	TWI-010

CONFIRMATION NO. 1012

FORMALITIES LETTER



OC000000007328942

25199
LARRY WILLIAMS
122 CALISTOGA ROAD, PMB-301
SANTA ROSA, CA 95409-3702

Date Mailed: 01/18/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$84.
 - \$84 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 954.

*A copy of this notice **MUST** be returned with the reply.*

03/28/2002 MBERHE 00000036 10020081

01 FC:201
02 FC:205
03 FC:202

370.00 OP
65.00 OP
42.00 OP

H-7
Customer Service Center

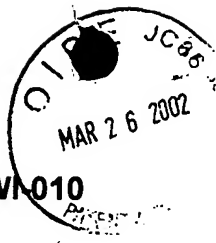
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/28/2002 MBERHE 00000036 10020081

01 FC:101
02 FC:103
03 FC:102

740.00 OP
130.00 OP
84.00 OP

COPY OF PAPERS
ORIGINALLY FILEDDocket No.: **TW-010**

Customer #25199

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Thomas E. West, Jr. and Donald P. Dietz

Serial Number: 10/020081

Filed: 12/11/2001

For: POLISHING PADS AND MANUFACTURING METHODS

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL PATENT APPLICATION**

March 17, 2002

Honorable Commissioner of Patents and Trademarks
Washington DC 20231

Sir:

In response to the Notice to File Missing Parts of Application dated 01/18/2002, submitted herewith are the following documents for filing in the above referenced application:

1. Credit Card Payment Form PTO-2038 in the amount of \$477.00 for payment of the statutory basic filing fee of \$370.00 for a small entity, \$0.00 for 0 total claims over 20, \$42.00 for 1 independent claim over 3, and the surcharge of \$65.00 for a small entity.
2. Patent Application Declaration and Power of Attorney signed by inventors (2 pages).
3. Copy of Notice to File Missing Parts of Provisional Application.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above named patent application and justify the filing date of 12/11/2001. Please telephone the undersigned at (408) 396-1112 if there are any questions regarding this matter.

Respectfully submitted,

Larry Williams
Reg. No. 39,428
122 Calistoga Rd., PMB-301
Santa Rosa, CA 95409-3702
CUSTOMER # 25199

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 03/17/2002.
Printed name LARRY WILLIAMS
Signature